

JUL 14 1866 PLUP10

APR 2002

COPY OF PAPERS
ORIGINALLY FILED

PATENT

RECEIVED

MAY 13 2002

TECH CENTER 1600/2900

In re application : KLAUS UNSICKER, ET AL.
Application No. : 10/009,431
Filed : February 13, 2002
For : NEUROPROTECTIVE PROPERTIES OF GDF-15, A
NOVEL MEMBER OF THE TGF- β SUPERFAMILY
Examiner :
Attorney's Docket : MBP-007XX

Group Art Unit: 1614

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to: Commissioner for Patents, Office of Initial
Patent Examination, Customer Service Center, Washington,
D.C. 20231 on 4-17-2.

By: 

Charles L. Gagnebin III
Registration No. 25,467
Attorney for Applicant(s)

L E T T E R

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

In reviewing the Filing Receipt (copy enclosed) for the
above-identified patent application, the following error was
noted:

Application No.: 10/009,431
Filed: February 13, 2002
Group Art Unit: 1614

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 90109714.8 05/17/1999
EUROPEAN PATENT OFFICE (EPO) 99114853.7 07/29/1999

should read:

Foreign Applications

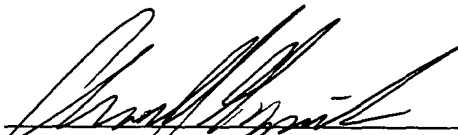
EUROPEAN PATENT OFFICE (EPO) 99109714.8 05/17/1999
EUROPEAN PATENT OFFICE (EPO) 99114853.7 07/29/1999

Kindly correct the error of the Patent and Trademark Office
and issue a corrected Filing Receipt.

Respectfully submitted,

KLAUS UNSICKER, ET AL.

By:


Charles L. Gagnebin III
Registration No. 25,467
Attorney for Applicant(s)

WEINGARTEN, SCHURGIN,
GAGNEBIN & LEOVICI LLP
Ten Post Office Square
Boston, Massachusetts 02109

Telephone: (617) 542-2290
Telecopier: (617) 451-0313

CLG:kmw/270969-1
Enclosure

MAY 13 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/009,431	02/13/2002	1614	564	MBP-007 XX	10	26	2

CONFIRMATION NO. 2347

207

WEINGARTEN, SCHURGIN, GAGNEBIN & LEOVICI LLP
TEN POST OFFICE SQUARE
BOSTON, MA 02109

FILING RECEIPT



OC000000007762361

Date Mailed: 04/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Klaus Unsicker, Heidelberg, GERMANY;
Kerstin Kriegelstein, Heidelberg, GERMANY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/04445 05/16/2000

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 90109714.8 05/17/1999
EUROPEAN PATENT OFFICE (EPO) 99114853.7 07/29/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Neuroprotective properties of gdf-15, a novel member of the tgfbeta superfamily

Preliminary Class

514

BY: RECEIVED
APR 12 2002
WEINGARTEN, SCHURGIN,
GAGNEBIN & LEOVICI LLPS1
5/16/02
J.

COPY OF PAPERS
ORIGINALLY FILED

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).